

06-04-02

DAC #



PATENT

Attorney Docket No.: CIT1520-1

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Sternberg et al.

Art Unit: 1632

Serial No.: 09/479,467

Examiner: Paras, P.

Filed: January 6, 2000

Title: POLYCYSTIC KIDNEY DISEASE GENE HOMOLOGS REQUIRED FOR  
MALE MATING BEHAVIOR IN NEMATODES AND ASSAYS BASED  
THEREON**BOX DAC**Commissioner for Patents  
Washington, D.C. 20231**TRANSMITTAL LETTER**

Sir:

Transmitted herewith for the above-identified application please find:

1. Petition Under 37 C.F.R. §1.137(b) to Revive an Unintentionally Abandoned Patent Application (2 pages);
2. Statement Accompanying Petition Under 37 C.F.R. §1.137(b) to Revive an Unintentionally Abandoned Patent Application (3 pages);
3. Petition for Extension of Time (2 pages);
4. CPA Transmittal Sheet (3 pages);
5. Preliminary Amendment (7 pages);
6. Executed Revocation Power of Attorney (2 pages);
7. Check number 510400 in the amount of \$1,450.00; and

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05/05/2002 AUNDADF1 00000051 501355 09479467

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EXPRESS MAIL Number: EV 047 297 670 US

Date of Deposit: MAY 30, 2002

I hereby certify that this paper is being deposited with the United States Postal Service "EXPRESS MAIL Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to: ATTN: BOX DAC, Commissioner for Patents, Washington, D.C. 20231

**MIKHAL BAYLEY**

(Name of Individual Depositing with P.O.)

(Signature of Individual Depositing with P.O.)

In re Application of:  
 Sternberg et al.  
 Application No.: 09/479,467  
 Filed: January 6, 2000  
 Page 2

PATENT  
 Atty Docket No.: CIT1520-1

8. Return Postcard

Applicants claim small entity status in the above-identified application. Pursuant to 37 C.F.R. § 1.27, a verified statement claiming small entity status is not required.

The filing fee has been calculated as follows.

	Number Filed		Number Extra		Rate			Fee	
					Large Entity	Small Entity		Small Entity	Large Entity
Total Claims	88 - 20	=	68	X	\$	\$09	=	\$ 0.00	<b>\$612.00</b>
Independent Claims	15 - 3	=	12	X	\$	\$42	=	\$42.00	<b>\$504.00</b>
Multiple Dependent Claims Presented: <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No					\$	\$140		\$0.00	
					BASIC FEE			\$370.00	<b>\$ 0.00</b>
					TOTAL FEE			\$1,486.00	<b>\$ 0.00</b>

XX Enclosed is a check in the amount of \$1,450.00 which includes \$370.00 as the basic CPA filing fee; \$620.00 for the petition fee to revive an unintentionally abandoned application and \$460.00 for a three-month extension of time for reply to the Final Office Action.

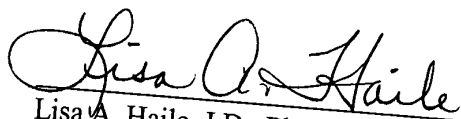
In re Application of:  
Sternberg et al.  
Application No.: 09/479,467  
Filed: January 6, 2000  
Page 3

PATENT  
Atty Docket No.: CIT1520-1

The Commissioner is hereby authorized to charge the extra claim fees and any other fees that may be associated with this communication, or credit any overpayment to Deposit Account No. 50-1355. A copy of this Transmittal Letter is enclosed.

Respectfully submitted,

Date: May 30, 2002



Lisa A. Haile, J.D., Ph.D.  
Registration No. 38,347  
Telephone: (858) 677-1456  
Facsimile: (858) 677-1465

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May 30, 2002

ATTORNEY DOCKET No.: CIT1520-1

ATTN: BOX DAC

Commissioner for Patents  
Washington, D.C. 20231

This paper is a request for a **Continued Prosecution Application** under 37 C.F.R. § 1.53(d) of:

Applicants:

Title:

**PAUL W. STERNBERG; and MAUREEN M. BARR  
POLYCYSTIC KIDNEY DISEASE GENE HOMOLOGS  
REQUIRED FOR MALE MATING BEHAVIOR IN  
NEMATODES AND ASSAYS BASED THEREON**

Prior U.S. Application No.:

Filing date:

**09/479,467**

**January 6, 2000**

The prior application is complete as defined by 37 C.F.R. § 1.51(b), or is a national stage of an international application filed in compliance with 35 U.S.C. § 371.

This request for a CPA is the reference to the prior application noted above as required by 35 U.S.C. § 120. Kindly abandon the prior application in favor of the continued proceedings that are requested herein.

The prior application is assigned of record to California Institute of Technology, by virtue of an Assignment submitted to the Patent and Trademark Office for recording on January 22, 2002, at reel 012536, frame number 0962.

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**MIKHAL BAYLEY**

(Name of Individual Depositing with P.O.)

(Signature of Individual Depositing with P.O.)

SILICON VALLEY SAN DIEGO SAN FRANCISCO AUSTIN SEATTLE SACRAMENTO LA JOLLA WASHINGTON, DC

Gray Cary\GT\6296542.1  
104662-79

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BOX DAC PATENT APPLICATION

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This request includes THREE sheets and the following:

- Preliminary Amendment (7 pages)
- Revocation of Powers of Attorney (2 pages)
- Return Postcard

The entire disclosure of the prior application is considered as being part of the disclosure of accompanying application and is incorporated by reference.

Applicants claim small entity status in the above-identified application. Pursuant to 37 C.F.R. § 1.27, a verified statement claiming small entity status is not required.

The filing fee has been calculated as follows.

	Number Filed		Number Extra		Rate			Fee	
					Large Entity	Small Entity		Small Entity	Large Entity
Total Claims	88 - 20	=	68	X	\$	\$09	=	\$ 0.00	\$612.00
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TOTAL FEE								\$1,486.00	\$ 0.00

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**GRAY CARY WARE & FREIDENRICH LLP**

**ATTORNEY DOCKET No.: CIT1520-1**

**BOX DAC PATENT APPLICATION**

May 30, 2002

Page Three

If this application is found to be INCOMPLETE, or if a telephone conference would otherwise be helpful, please call the undersigned at (858) 677-1456.

Kindly acknowledge receipt of this application by returning the enclosed postcard.

All future correspondence should be addressed to:

**Lisa A. Haile, J.D., Ph.D.**  
**GRAY CARY WARE & FREIDENRICH LLP**  
4365 Executive Drive, Suite 1100  
San Diego, CA 92121-2133  
Telephone: (858) 677-1456  
Facsimile: (858) 677-1465

Respectfully submitted,

**GRAY CARY WARE & FREIDENRICH LLP**



Lisa A. Haile, J.D., Ph.D.  
Registration No. 38,347

May 30, 2002

Date

**USPTO Customer Number 28213**

Enclosure  
LAH/KKR/kdl